

89 DEC 29 P 1: 45

ANNUAL REPORT

(FOR CLASS A AND CLASS B SEWER UTILITIES)

OF

(Exact legal name of respondent)

TO THE

NEW MEXICO PUBLIC SERVICE COMMISSION

FOR THE

YEAR ENDED DECEMBER 31, 19__

Report for the Year Ended December 31, 19__

89 DEC 29 P 1: 45

OFFICERS AND DIRECTORS

Report below the officers and directors of respondent at end of year. If there were any changes during year, show title, name, and address of previous officer or director and date of change. List officers first, then directors, listing twice officers who are also directors.

| Line No. | Name (a) | Official Title (b) | Principal business address (c) |
|----------|----------|--------------------|--------------------------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |

1. State number of meetings of board of directors held during year _____
2. State number of directors required to constitute a quorum _____
3. State total amount of directors' fees paid during year _____

SECURITY HOLDERS AND VOTING POWERS

Report below the names and addresses of the 9 security holders of the respondent who, at the end of the year, had the highest voting powers in the respondent, and state the number of votes which each would have had a right to cast on that date, if a meeting were then in order. If any such holder held in trust, give the known particulars of the trust.

| Line No. | Name of security holder (a) | Address of security holder (b) | NUMBER OF VOTES AT END OF YEAR | | | |
|----------|-----------------------------|--------------------------------|--------------------------------|------------------|---------------------|--|
| | | | Total number of votes (c) | Common stock (d) | Preferred stock (e) | Other Securities with voting power (f) |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |

89 DEC 29 P 1: 45

COMPARATIVE BALANCE SHEET
ASSETS AND OTHER DEBITS

| Line No. | Acct. No. | TITLE OF ACCOUNT (a) | BALANCE BEGINNING OF YEAR (b) | BALANCE END OF YEAR (c) |
|---------------------------------------|-----------|--|----------------------------------|----------------------------|
| UTILITY PLANT | | | | |
| 1 | | | \$ | \$ |
| 2 | 100-105 | Utility Plant | | |
| 3 | 108-110 | Less: Accumulated Provision for Depreciation and Amortization of Utility Plant | | |
| 4 | 114 | Utility Plant Acquisition Adjustment | | |
| 5 | 115 | Accumulated Amortization of Utility Plant Acquisition Adjustment | | |
| 6 | | Net Utility Plant | | |
| OTHER PROPERTY AND INVESTMENTS | | | | |
| 7 | | | | |
| 8 | 121 | Non-utility Property (Less: Account 122, Accumulated Provision for Depreciation and Amortization) 121 \$ _____ 122 \$ _____ (Net) | | |
| 9 | 123 | Investment in Associated Companies | | |
| 10 | 124 | Utility Investments | | |
| 11 | 125 | Other Investments | | |
| 12 | 127 | Special Funds | | |
| 13 | | Total Other Property and Investments | | |
| CURRENT AND ACCRUED ASSETS | | | | |
| 14 | | | | |
| 15 | 131 | Cash and Working Funds | | |
| 16 | 132 | Special Deposits | | |
| | 134 | Working Funds | | |
| 18 | 135 | Temporary Cash Investments | | |
| 19 | 141 | Customer Accounts Receivable | | |
| 20 | 142 | Other Accounts Receivable | | |
| 21 | 143 | Accumulated Provision for Uncollectible Accounts-Cr. | | |
| 22 | 144 | Notes Receivable | | |
| 23 | 145 | Accounts Receivable from Associated Companies | | |
| 24 | 146 | Notes Receivable from Associated Companies | | |
| 25 | 151 | Plant Materials and Supplies | | |
| 26 | 162 | Prepayments | | |
| 27 | 171-174 | Other Current and Accrued Assets | | |
| 28 | | Total Current and Accrued Assets | | |
| DEFERRED DEBITS | | | | |
| 29 | | | | |
| 30 | 181 | Unamortized Debt Discount and Expenses | | |
| 31 | 182 | Extraordinary Property Losses | | |
| 32 | 186-190 | Other Deferred Debits | | |
| 33 | | Total Deferred Debits | | |
| 34 | | Total Assets and Other Debits | | |

Remarks: _____

COMPARATIVE BALANCE SHEET
LIABILITIES AND OTHER CREDITS

89 DEC 29 P 1: 45

| Acct. No. | TITLE OF ACCOUNT (a) | BALANCE BEGINNING OF YEAR (b) | BALANCE END OF YEAR (c) |
|-----------|--|----------------------------------|----------------------------|
| 1 | PROPRIETARY CAPITAL | \$ | \$ |
| 2 | 201 Common Capital Stock | | |
| 3 | 204 Preferred Capital Stock | | |
| 4 | 211 Other Paid-In Capital | | |
| 5 | 212 Discount on Capital Stock | | |
| 6 | 213 Capital Stock Expense | | |
| 7 | 214 Appropriated Retained Earnings | | |
| 8 | 215 Unappropriated Retained Earnings | | |
| 9 | 216 Reacquired Capital Stock | | |
| 10 | Total Proprietary Capital | | |
| 11 | LONG-TERM DEBT | | |
| 12 | 221 Bonds | | |
| 13 | 223 Advances from Associated Companies | | |
| 14 | 224 Other Long-Term Debt | | |
| 15 | Total Long-Term Debt | | |
| 16 | CURRENT AND ACCRUED LIABILITIES | | |
| 17 | 231 Accounts Payable | | |
| 18 | 232 Notes Payable | | |
| 19 | 233-234 Payables to Associated Companies | | |
| 20 | 235 Customer Deposits | | |
| 21 | 236 Taxes Accrued | | |
| 22 | 237 Interest Accrued | | |
| 23 | 238-241 Other Current and Accrued Liabilities | | |
| 24 | Total Current and Accrued Liabilities | | |
| 25 | DEFERRED CREDITS | | |
| 26 | 251 Unamortized Premium on Debt | | |
| 27 | 252 Advances for Construction | | |
| 28 | 253 Other Deferred Credits | | |
| 29 | 255 Accumulated Deferred Investment Tax Credits | | |
| 30 | Total Deferred Credits | | |
| 31 | OPERATING RESERVES | | |
| 32 | 261 Property Insurance Reserve | | |
| 33 | 262 Injuries and Damages Reserve | | |
| 34 | 263 Pensions and Benefits Reserve | | |
| 35 | 265 Miscellaneous Operating Reserve | | |
| 36 | Total Operating Reserves | | |
| 37 | CONTRIBUTIONS IN AID OF CONSTRUCTION | | |
| 38 | 271 Contributions in Aid of Construction | | |
| 39 | 272 Accumulated Amortization of Contributions In Aid of Construction | | |
| 40 | 281-283 Accumulated Deferred Income Tax | | |
| 41 | Total Liabilities and Other Credits | | |

Remarks:

SEWER UTILITY PLANT

Include in column (e) entries reclassifying property from one account to another. Corrections of entries of the immediately preceding year should be recorded in column (c) or column (d) accordingly as they are corrections of additions or retirements.

| Line No. | ACCT. No. | ACCOUNT (e) | BALANCE AT BEGINNING YEAR (b) | PLANT ADDED (c) | PLANT RETIRED (d) | ADJUSTMENTS (e) | BALANCE AT END OF YEAR (f) |
|----------|-----------|---|-------------------------------|-----------------|-------------------|-----------------|----------------------------|
| 1 | | INTANGIBLE PLANT | \$ | \$ | \$ | \$ | \$ |
| 2 | 351 | Organization..... | | | | | |
| 3 | 353 | Franchise..... | | | | | |
| 4 | | Total Intangible Plant..... | | | | | |
| 5 | | COLLECTION PLANT | | | | | |
| 6 | 363 | Land and Land Rights | | | | | |
| 7 | 364 | Structures and Improvements..... | | | | | |
| 8 | 360 | Collection Sewers - Force..... | | | | | |
| 9 | 361 | Collection Sewers - Gravity | | | | | |
| 10 | 362 | Special Collecting Structure | | | | | |
| 11 | 363 | Services to Customers | | | | | |
| 12 | 364 | Flow Measuring Devices | | | | | |
| 13 | 365 | Flow Measuring Installations | | | | | |
| 14 | 370 | Receiving Wells | | | | | |
| 15 | | Total Collection Plant..... | | | | | |
| 16 | | PUMPING TREATMENT AND DISPOSAL PLANT | | | | | |
| 17 | 371 | Pumping Equipment..... | | | | | |
| 18 | 380 | Treatment and Disposal Equipment | | | | | |
| 19 | 381 | Plant Sewers..... | | | | | |
| 20 | 382 | Outfall Sewer Lines | | | | | |
| 21 | 389 | Other Plant and Disposal | | | | | |
| 22 | | Total Treatment and Disposal Plant..... | | | | | |

09 DEC 29

P 11 48

| Line No. | ACCT. No. | ACCOUNT (a) | BALANCE AT BEGINNING YEAR (b) | PLANT ADDED (c) | PLANT RETIRED (d) | ADJUSTMENTS (e) | BALANCE AT END OF YEAR (f) |
|----------|-----------|---|-------------------------------|-----------------|-------------------|-----------------|----------------------------|
| 23 | | GENERAL PLANT | \$ | \$ | \$ | \$ | |
| 24 | 390 | Office Furniture and Equipment | | | | | |
| 25 | 391 | Transportation Equipment | | | | | |
| 26 | 392 | Stores Equipment | | | | | |
| 27 | 393 | Tools, Shop and Garage Equipment | | | | | |
| 28 | 394 | Laboratory Equipment | | | | | |
| 29 | 395 | Power Operated Equipment | | | | | |
| 30 | 396 | Communication Equipment | | | | | |
| 31 | 397 | Miscellaneous Equipment | | | | | |
| 32 | 398 | Other Tangible Property | | | | | |
| 33 | | Total General Plant | | | | | |
| 34 | | Total Utility Plant in Service Directly | | | | | |
| 35 | | Assignable Common Utility Plant Allocated | | | | | |
| 36 | | Total Sewer Utility Plant in Service | | | | | |

If utility has common utility plant report hereunder by accounts of such plant and explain the method of allocating common plant and accumulated provision for depreciation and amortization by utility departments.

89 DEC 29
P 1 : 45

| UTILITY PLANT (Accounts 101 - 105) | | (Col. 1) | (Col. 2) | (Col. 3) |
|---|---|------------------------------|-------------------------------|---|
| Schedule A | | | | |
| TOTAL UTILITY PLANT | | | | |
| A/C No. | | Sewer Plant | Common Plant | Total Utility Plant (Col. 1 & 2) |
| 101 | Utility Plant in Service | \$ | \$ | \$ |
| 102 | Utility Plant Leased to Others | | | |
| 103 | Property Held for Future Use | | | |
| 104 | Utility Plant Purchased or Sold | | | |
| 105 | Construction Work in Progress | | | |
| | Total Utility Plant (to pg. 2, line 2) | \$ | \$ | \$ |
| | | | | |
| Accumulated Provision for Depreciation and Amortization of Utility Plant (Accounts 108-110) | | (Col. 1) | (Col. 2) | (Col. 3) |
| Schedule B | | | | |
| TOTAL UTILITY PLANT RESERVES | | | | |
| A/C No. | | Sewer Plant Deprec. & Amort. | Common Plant Deprec. & Amort. | Total Acc. Prov. & Amort. of Utility Plant (Col. 1&2) |
| 108 | Accumulated provision for Deprec. of Utility Plant in Service | \$ | \$ | \$ |
| 110 | Accumulated provision for Amort. of Utility Plant in Service | | | |
| 114 | Utility Plant Acquisition Adjustments | | | |
| 116 | Accumulated Amort. of Utility Plant Acquisitions Adjustments | | | |
| | Total Utility Plant Reserve (to pg. 2, line 2) | \$ | \$ | \$ |

89 DEC 29

P 1 : 45

MATERIALS AND SUPPLIES (Accounts 151)

89 DEC 29 P 1: 45

1. Report below the amount of materials and supplies at end of year under titles which are indicative of the character of the material included. Appliances for resale shall be reported as a separate class of material.

2. Show in Column (c) the departments which use each class of material and in Column (d) designate the department to which predominant use of the class of material is attributable.

A. Summary of Materials and Supplies at End of Year

| Line No. | Class of Material (a) | Amount End of Year (b) | Depts. which Use Material (c) | Dept. to which Predom. use of Material is Attributable (d) |
|--|-----------------------|------------------------|-------------------------------|--|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| 11 | | | | |
| 12 | | | | |
| (Total of Col. (b) to page 2, line 24) | | | | |

PREPAYMENTS (Account 162)

1. Give below the particulars called for concerning each prepayment.
2. Minor items may be grouped by classes, showing number of such items.

| Line No. | Nature of Prepayment (a) | Balance Beginning of Year (b) | Balance End of Year (c) |
|--|--------------------------|-------------------------------|-------------------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| 7 | | | |
| 8 | | | |
| (Total of Col. (c) to page 2, line 25) | | | |

INCOME AND EARNED SURPLUS STATEMENT

| Acct. No. | ACCOUNT | 89 DEC 20 | AMOUNT FOR 5 CURRENT YEAR (b) | | | | |
|-----------|---|-----------|-------------------------------------|--|--|--|--|
| | (a) | | | | | | |
| | UTILITY OPERATING INCOME | | \$ | | | | |
| 400 | Operating Revenues..... | | | | | | |
| | Operating Expenses: | | | | | | |
| 401 | Operation and Maintenance Expenses..... | | | | | | |
| 403 | Depreciation Expense..... | | | | | | |
| 407 | Amortization Expense..... | | | | | | |
| 408 | Taxes Other Than Income Taxes..... | | | | | | |
| 409 | Income Taxes—Federal & State..... | | | | | | |
| | Total Operating Expenses..... | | | | | | |
| | Net Operating Revenues..... | | | | | | |
| 410 | Provision for Deferred Income Taxes..... | | | | | | |
| 411 | Provision for Deferred Income Taxes - Credit..... | | | | | | |
| | Income from Utility Plant Leased to Others..... | | | | | | |
| 412 | Investment Tax Credit..... | | | | | | |
| 413 | Utility Operating Incomes..... | | | | | | |
| 414 | Gains (Losses) from Disposition of Utility Property..... | | | | | | |
| | OTHER INCOME | | | | | | |
| 415-416 | Income from Merchandising, Jobbing, and Contract Work:..... | | | | | | |
| | 415 Revenues \$_____ 416 Costs & Expenses \$_____ (Net) | | | | | | |
| 419 | Interest and Dividend Income..... | | | | | | |
| 420 | Allowances for Funds Used During Construction..... | | | | | | |
| 421 | Nonutility Income..... | | | | | | |
| | Total Other Income..... | | | | | | |
| | Total Income..... | | | | | | |
| | MISCELLANEOUS INCOME DEDUCTIONS | | | | | | |
| 426 | Miscellaneous Nonutility Expenses..... | | | | | | |
| | Total Income Deductions..... | | | | | | |
| | Income Before Interest Charges..... | | | | | | |
| | INTEREST CHARGES | | | | | | |
| 427 | Interest on Long-Term Debt..... | | | | | | |
| 428 | Amortization of Debt Discount and Expenses..... | | | | | | |
| 429 | Amortization of Premium on Debt Credit..... | | | | | | |
| | Total Interest Charges..... | | | | | | |
| 433-434 | Extraordinary Income or Deductions..... | | | | | | |
| | Net Income..... | | | | | | |

STATEMENT OF RETAINED EARNINGS FOR THE YEAR

89 DEC 29 P 1: 45

1. Each credit and debit during the year should be identified as to the retained earnings account in which recorded and the contra-primary account effected shown in column (b).
2. For each reservation or appropriation of retained earnings state the purpose and amount.
3. Dividends should be shown for each class and series of capital stock. Show amounts of dividends per share.
4. Show separately the state and federal income tax effect of items shown in Account 439 and give a brief description of each adjustment.

| Item (a) | Contra Primary Account Affected (b) | Amount (c) |
|--|---|---------------|
| Unappropriated Retained Earnings (Account 215): | | |
| Balance beginning of year _____ | | |
| Changes (identify by prescribed retained earnings accounts) _____ | | |
| Adjustments to Retained Earnings (Account 439) _____ | | |
| Credits _____ | | |
| Total Credits to Retained Earnings (Accounts 439) _____ | | |
| Debits: | | |
| Total Debits to Retained Earnings (Account 439) _____ | | |
| Balance Transferred from Income (Account 435) _____ | | |
| Appropriations of Retained Earnings (Account 436) _____ | | |
| Total Appropriations of Retained Earnings (Account 436) _____ | | |
| Dividends Declared - Preferred & Common Stock (Account 437-438) _____ | | |
| Total Dividends Declared _____ | | |
| Balance - End of Year _____ | | |
| Appropriated Retained Earnings (Account 214): | | |
| State balance and purpose of each appropriated retained earnings amount of end-of-year and give accounting entries for any applications of appropriated retained earnings during the year. _____ | | |
| Total Appropriated Retained Earnings (Account 214) _____ | | |
| Total Retained Earnings (Account 214, 215) _____ | | |
| Notes to Statement of Retained Earnings for the Year: _____ | | |

FILED WITH STATE RECORDS
RECORDS AND ARCHIVES
89 DEC 20 P 1: 45

PUMPING STATION EQUIPMENT

1. Use separate columns for each pump and associated equipment. Use insert sheets if needed.
2. If the utility operates more than one integrated meter system, use insert sheets to show information requested below for each system.

| Line No. | Items (a) | (b) | (c) | (d) | (e) |
|----------|--|------------------|-------------------|-------------|-----|
| 1 | PUMPING EQUIPMENT | | | | |
| 2 | Lift station number _____ | | | | |
| 3 | Make or type and nameplate data of pump _____ | | | | |
| 4 | _____ | | | | |
| 5 | _____ | | | | |
| 6 | _____ | | | | |
| 7 | Year installed _____ | | | | |
| 8 | Rated capacity (gpm) _____ | | | | |
| 9 | Size _____ | | | | |
| 10 | Power: Electric _____ | | | | |
| 11 | Mechanical _____ | | | | |
| 12 | Give nameplate date of motor _____ | | | | |
| 13 | _____ | | | | |
| 14 | _____ | | | | |
| 15 | SERVICE CONNECTIONS | | | | |
| 16 | Size (inches) _____ | | | | |
| 17 | Type (81, PVC, etc.) _____ | | | | |
| 18 | Average length _____ | | | | |
| 19 | NO. OF ACTIVE SERVICE CONNECTIONS _____ | | | | |
| 20 | _____ | | | | |
| 21 | Beginning of Year _____ | | | | |
| 22 | Added during Year _____ | | | | |
| 23 | Retired during Year _____ | | | | |
| 24 | Close of Year _____ | | | | |
| 25 | Give full particulars concerning _____ | | | | |
| 26 | inactive connections _____ | | | | |
| 27 | _____ | | | | |
| 28 | _____ | | | | |
| 29 | COLLECTING, INTERCEPTOR & FORCE MAINS | | | | |
| 30 | Size (inches) _____ | Collecting Mains | Interceptor Mains | Force Mains | |
| 31 | Type of main (Cl. PVC, etc.) _____ | | | | |
| 32 | Length of Pipe (nearest ft.) _____ | | | | |
| 33 | Beginning of Year _____ | | | | |
| 34 | Added during Year _____ | | | | |
| 35 | Retired during Year _____ | | | | |
| 36 | Close of Year _____ | | | | |
| 37 | _____ | | | | |
| 38 | _____ | | | | |
| 39 | MANHOLES | | | | |
| 40 | Size _____ | | | | |
| 41 | Type _____ | | | | |
| 42 | Number _____ | | | | |
| 43 | Beginning of Year _____ | | | | |
| 44 | Added during Year _____ | | | | |
| 45 | Retired during Year _____ | | | | |
| 46 | Close of Year _____ | | | | |
| 47 | _____ | | | | |